

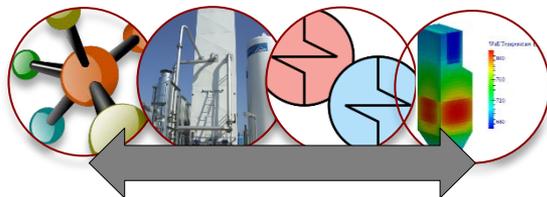
# A Framework for Equation Based Optimization of Coal Oxycombustion Power Plants

Alex Dowling<sup>1</sup>, **John Eason**<sup>1</sup>, Jinliang Ma<sup>2,3</sup>,  
David Miller<sup>3</sup> & Larry Biegler<sup>1</sup>

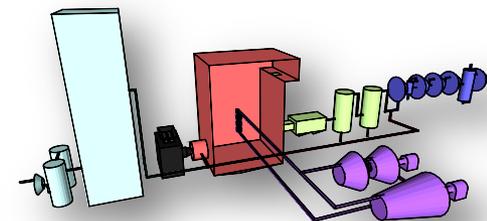
<sup>1</sup>Carnegie Mellon University

<sup>2</sup>URS Corporation

<sup>3</sup>National Energy Technology Laboratory



AICHE Annual Meeting  
November 17th, 2014



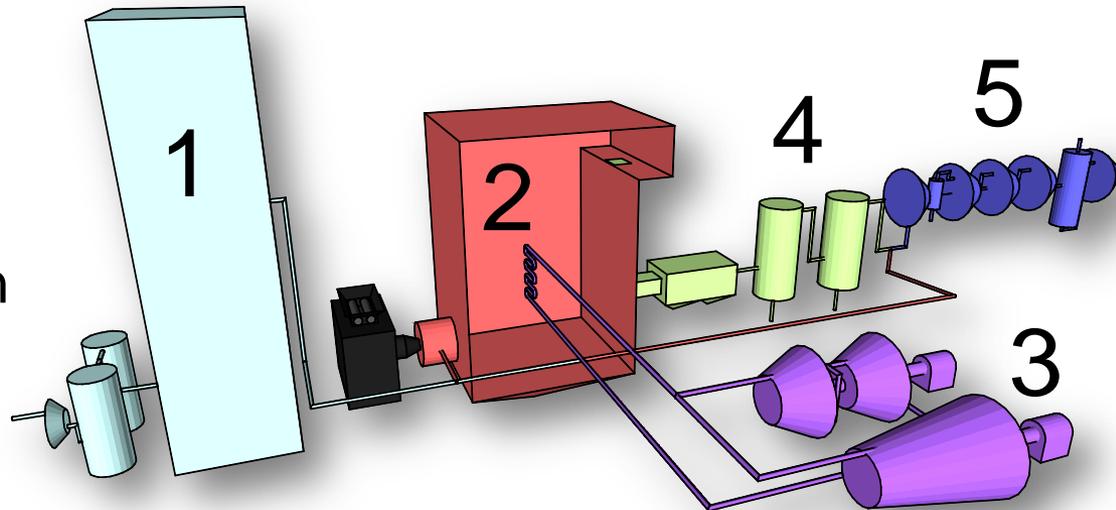
# Motivation

Develop framework for full oxycombustion power plant optimization

- Minimize *cost of electricity* with carbon capture
- Comparison against mature technologies

## Oxycombustion Power Plant

1. Air Separation Unit
2. Boiler
3. Steam Turbines
4. Pollution Controls
5. CO<sub>2</sub> Compression Train

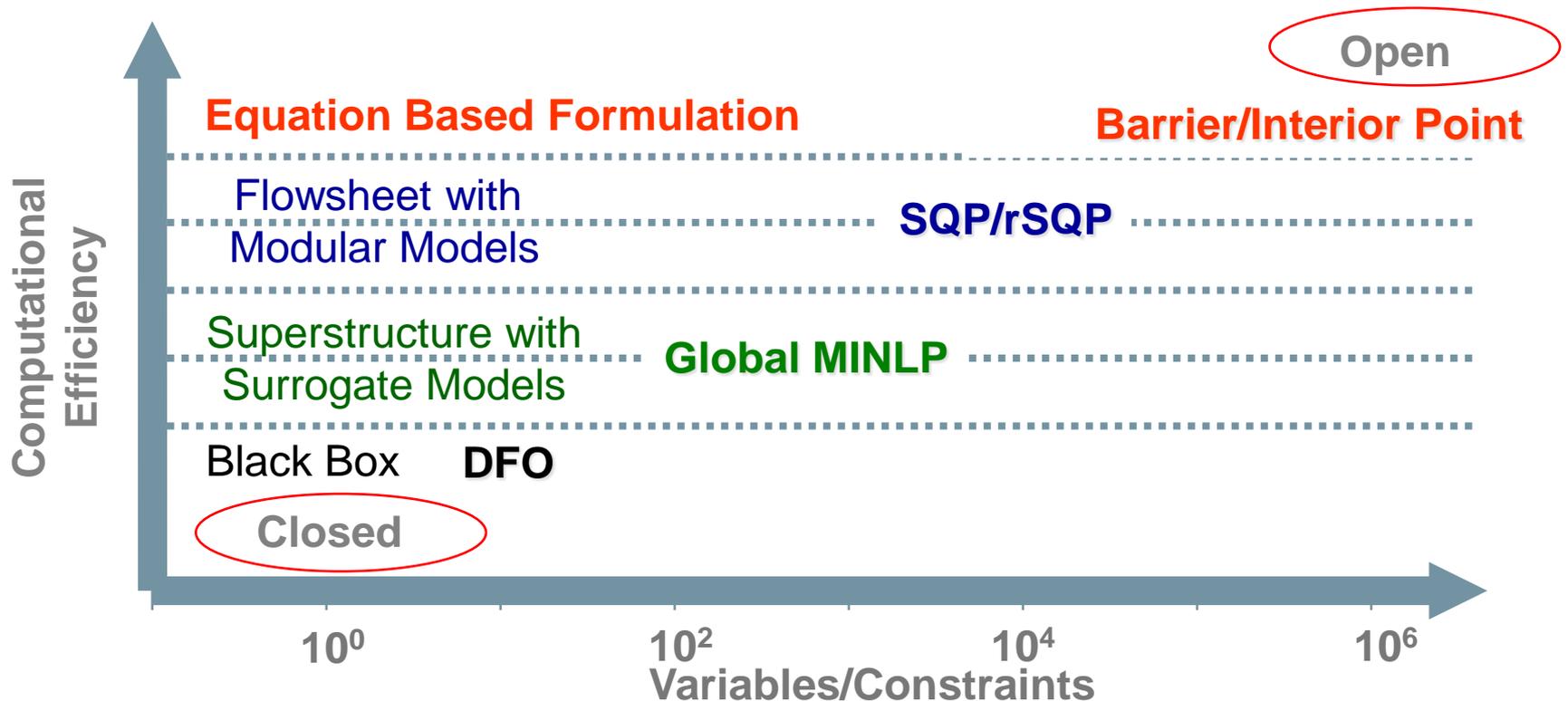


# Methodology: Equation Oriented

Tightly coupled  
subsystems

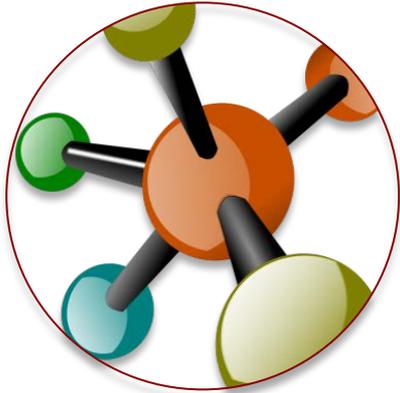


Optimize using  
detailed models



**EO Benefit:** Free linear sensitivity information at optimal solution

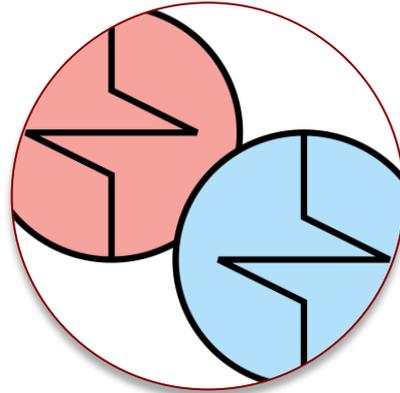
# Framework for EO Flowsheet Optimization



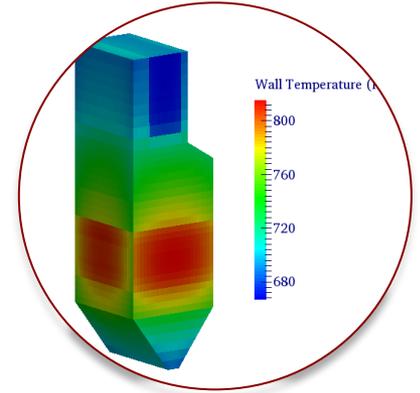
**Thermodynamics  
&  
Flash  
Calculations**



**Distillation  
Cascades**



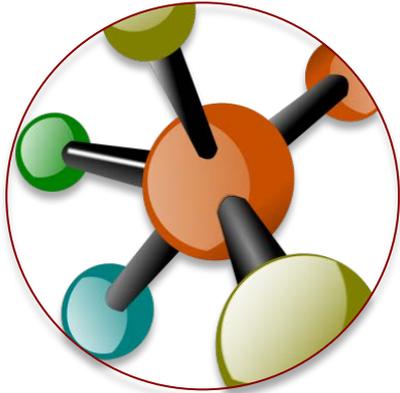
**Heat Integration**



**Complex Reactors**

**Trust Region Optimization with Filter**

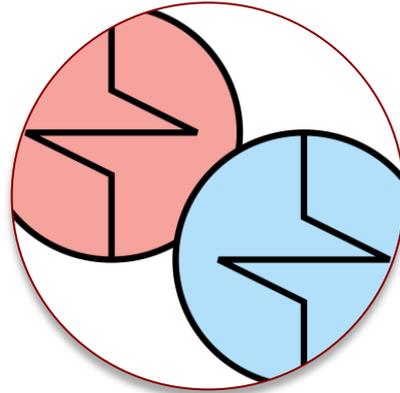
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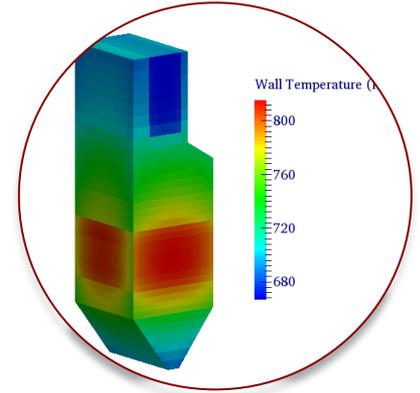
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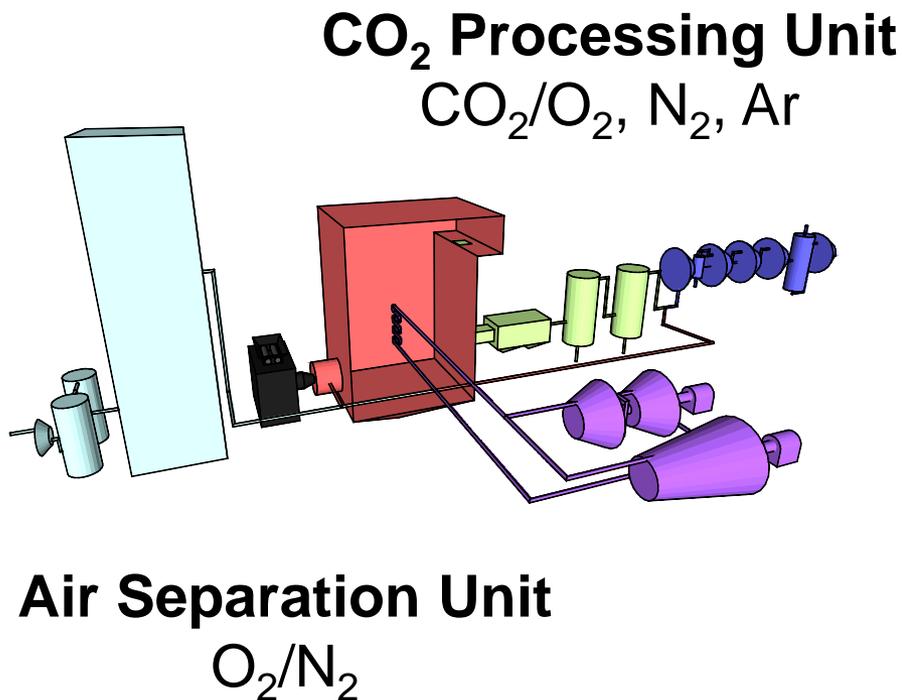
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Complex Reactors

Trust Region Optimization with Filter

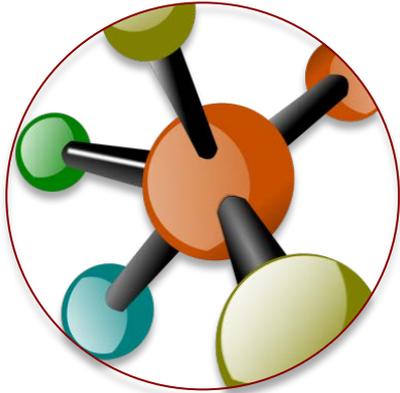
# Cryogenic Separations



- Minimized ASU specific energy
  - match industry designs, beats academic studies
- Investigated CPU energy/area tradeoffs
- Model structure will allow heat integration between subsystems

*See companion presentations (627b) and (346a)*

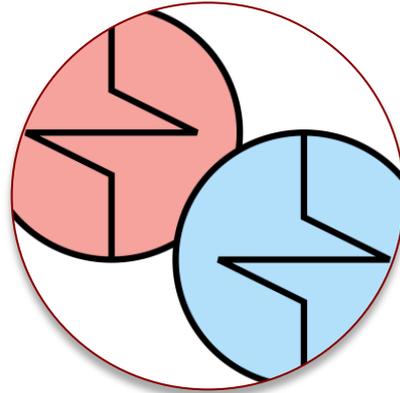
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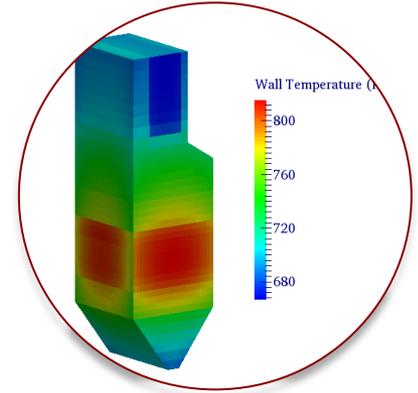
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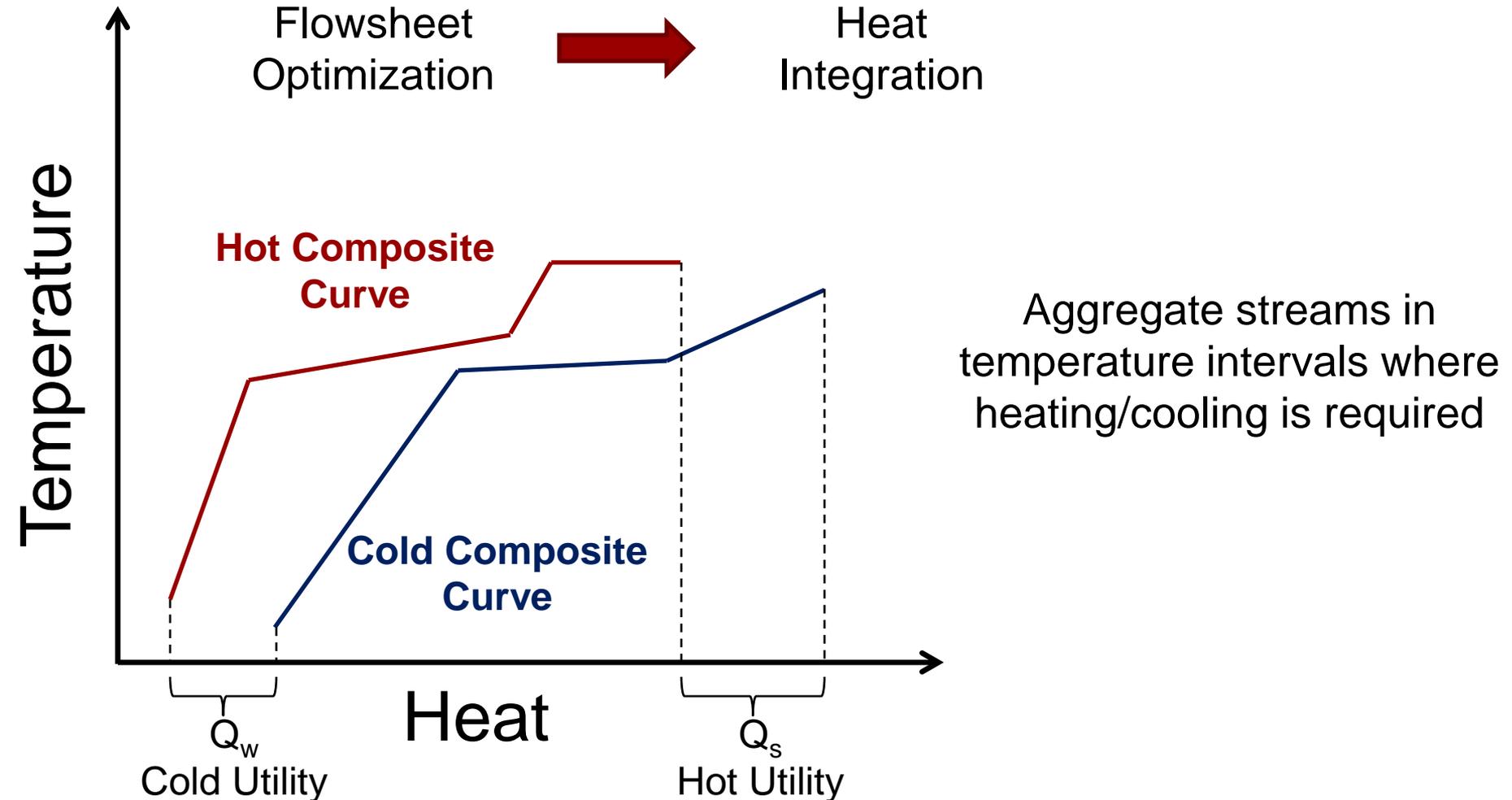
**Heat  
Integration**



Complex  
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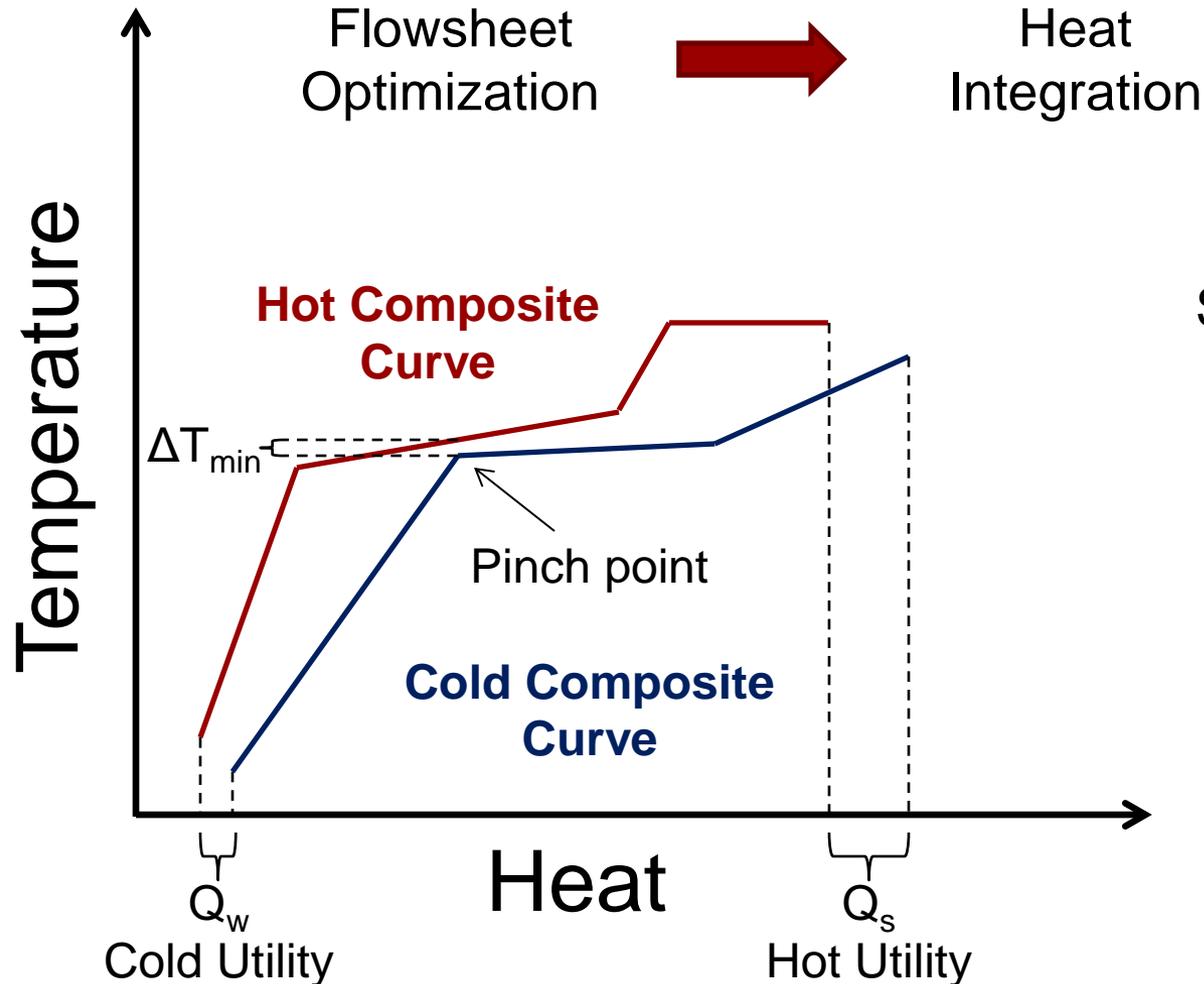
Trust Region Optimization with Filter

# Pinch Based Heat Integration



Hohmann, E.C. (1971). *Optimum Networks for Heat Exchangers*. PhD Thesis, University of So. Cal.  
Linnhoff, B. (1993). Pinch analysis – A state-of-the-art overview. *Trans. IChemE.*, **71(A)**, 503.

# Pinch Based Heat Integration



Shift curves horizontally until  $\Delta T_{\min}$  is limiting  $\rightarrow$  Minimum utilities

But composite curves depend on the stream data!

# Heat Integration Model

## Pinch candidates

$$T^p = \begin{cases} T_p^{in} & \text{if candidate } p \text{ is a hot stream} \\ T_p^{in} + \Delta T_{min} & \text{if candidate } p \text{ is a cold stream} \end{cases}$$

## Available heating and cooling above pinch

$$QA_H^p = \sum_{i \in \{Hot\}} FCp_i [\tilde{\max}(T_i^{in} - T^p) - \tilde{\max}(T_i^{out} - T^p)]$$

## Utility calculations

$$QA_C^p = \sum_{j \in \{Cold\}} FCp_j [\tilde{\max}(T_j^{out} - T^p + \Delta T_{min}) - \tilde{\max}(T_j^{in} - T^p + \Delta T_{min})]$$

Flowsheet  
Optimization



Heat  
Integration

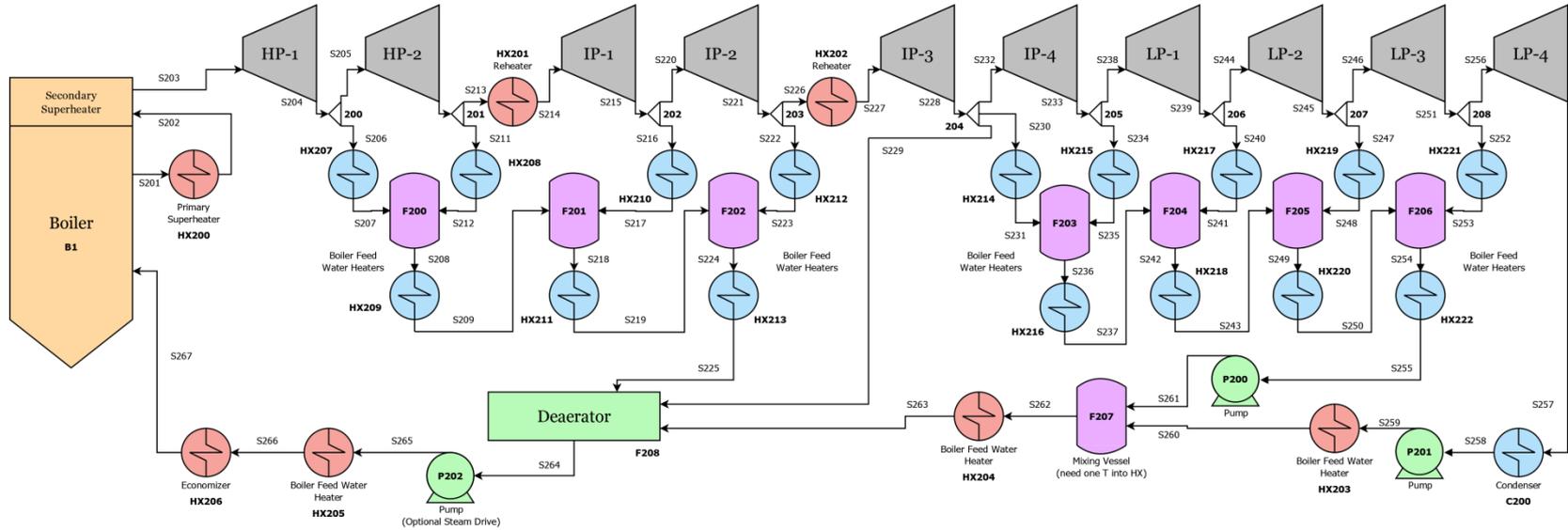
$$Q_s \geq QA_C^p - QA_H^p \quad \text{for all } p$$

$$Q_w = Q_s + \sum_{j \in \{Cold\}} Q_j^{in} - \sum_{i \in \{Hot\}} Q_i^{out}$$

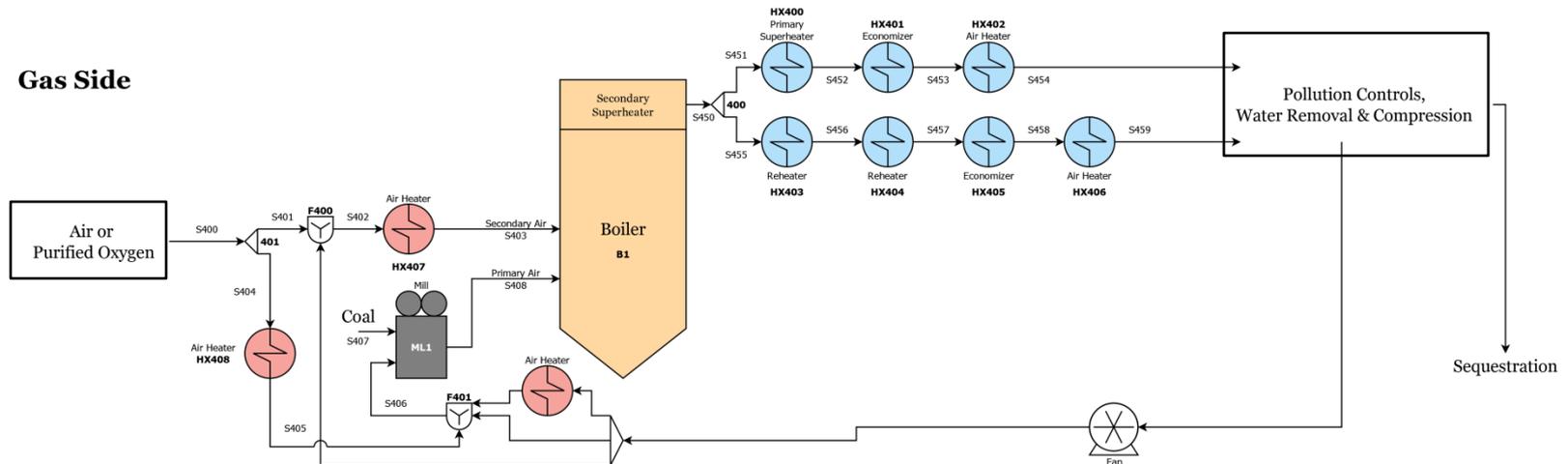
Duran, M. A., & Grossmann, I. E. (1986). Simultaneous optimization and heat integration of chemical processes. *AIChE Journal*, 32(1), 123–138.

# Steam Cycle Superstructure

## Steam Side



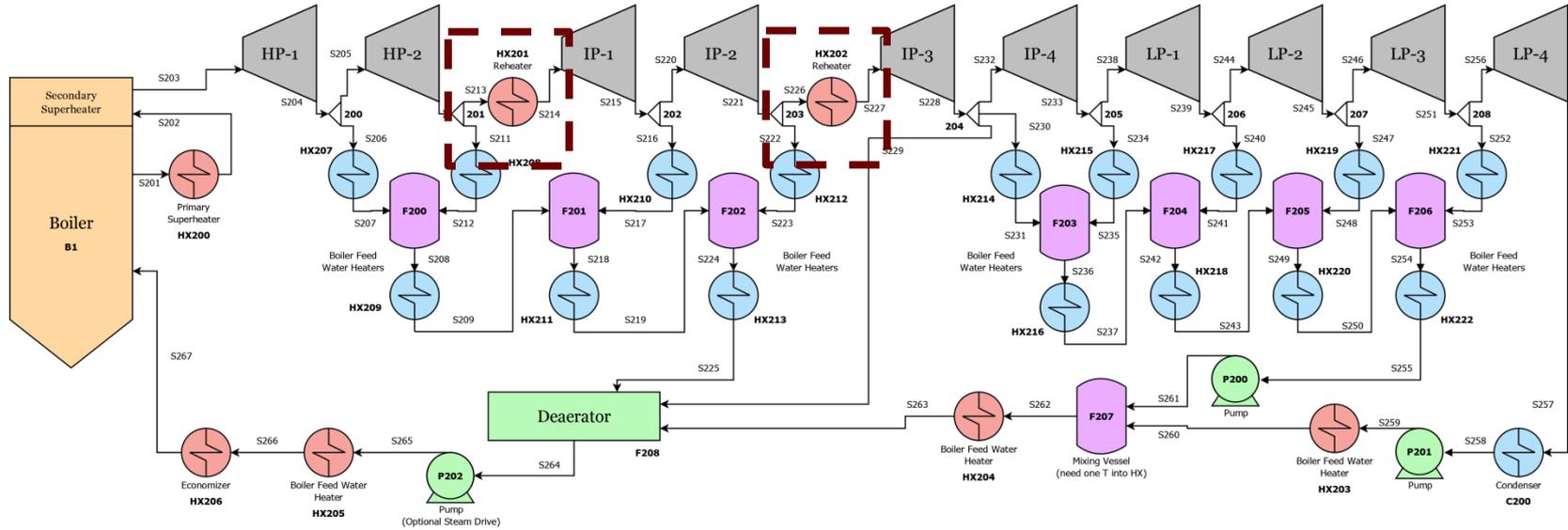
## Gas Side



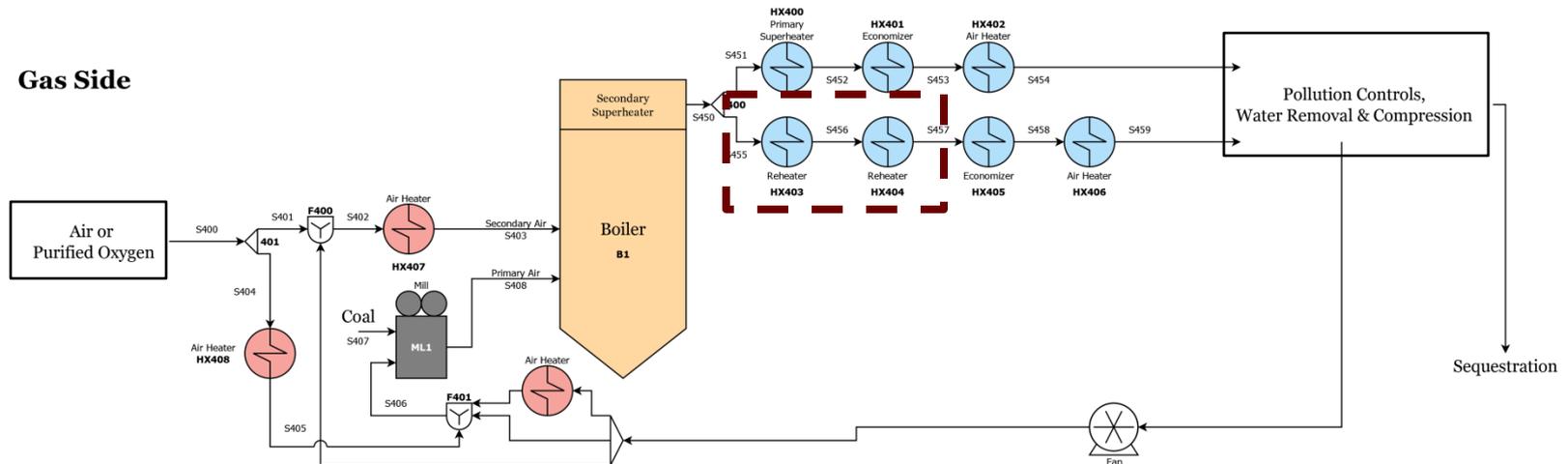


# Steam Cycle & Heat Integration

## Steam Side

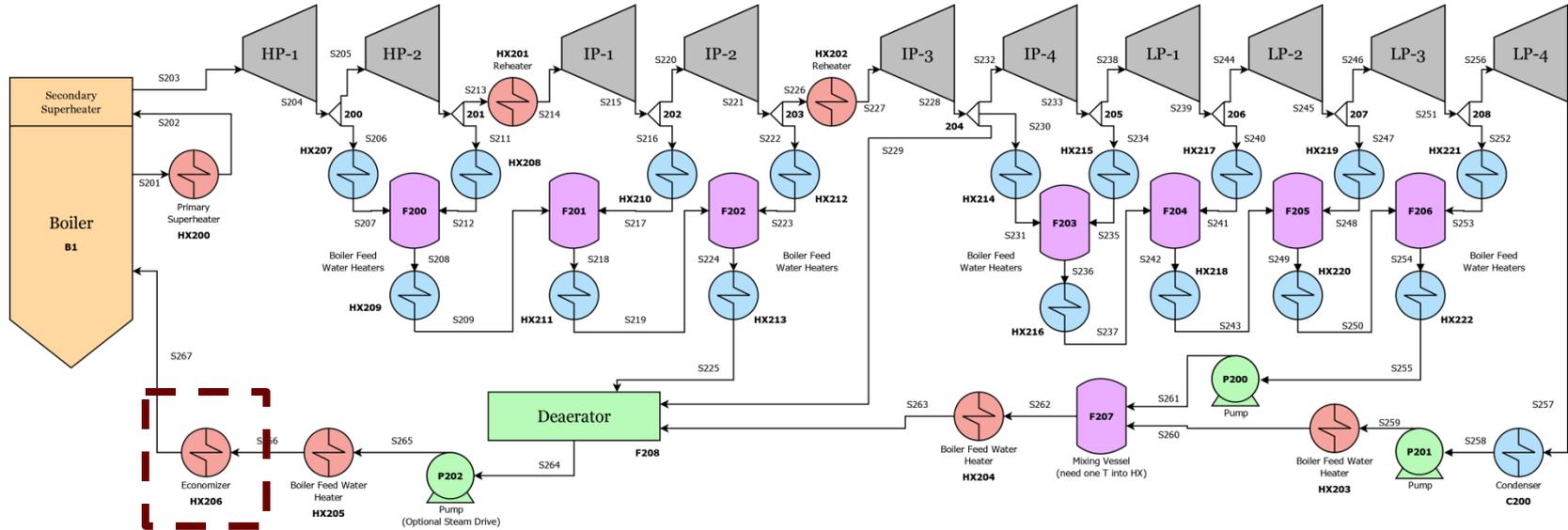


## Gas Side

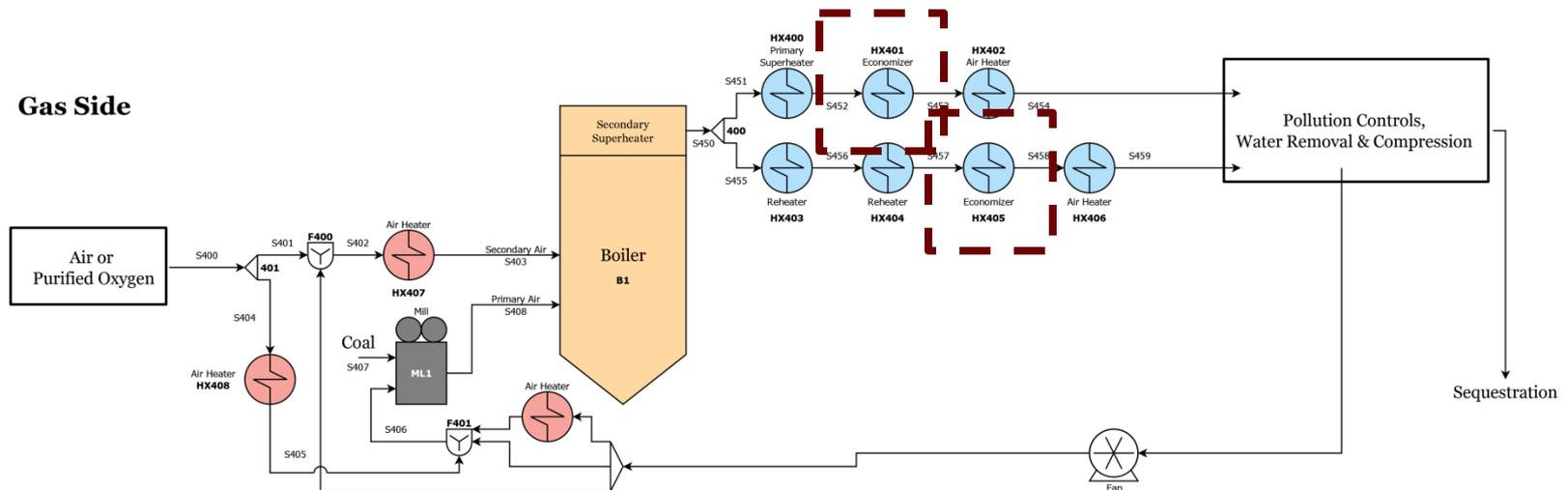


# Steam Cycle & Heat Integration

## Steam Side

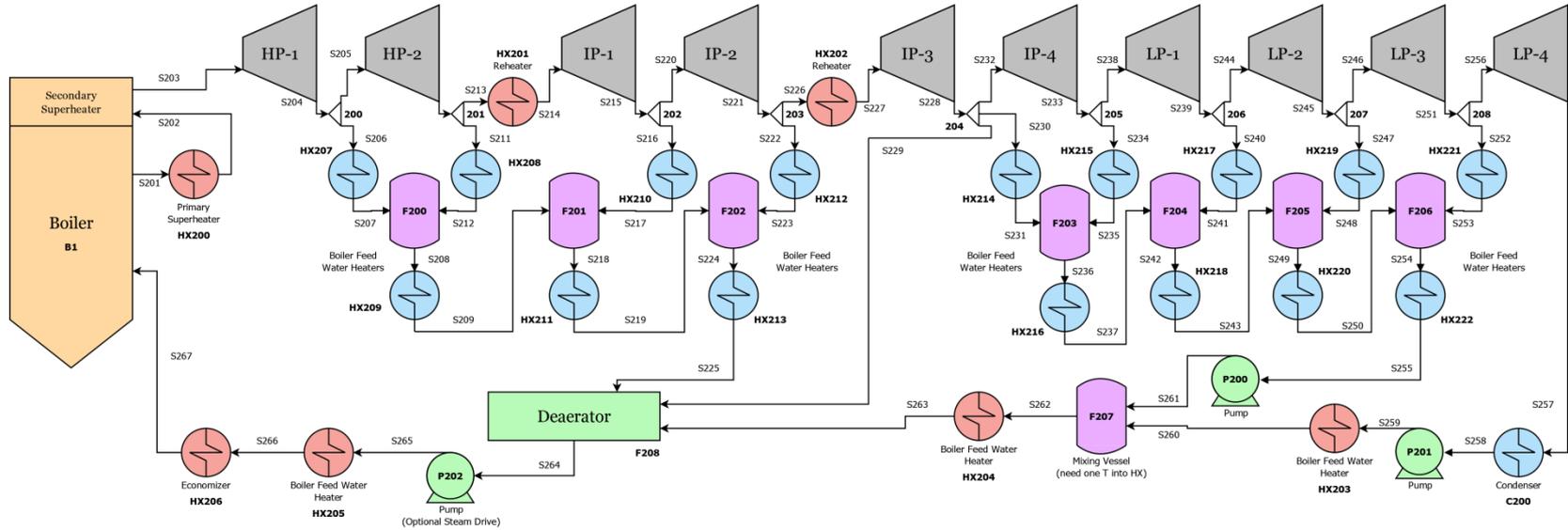


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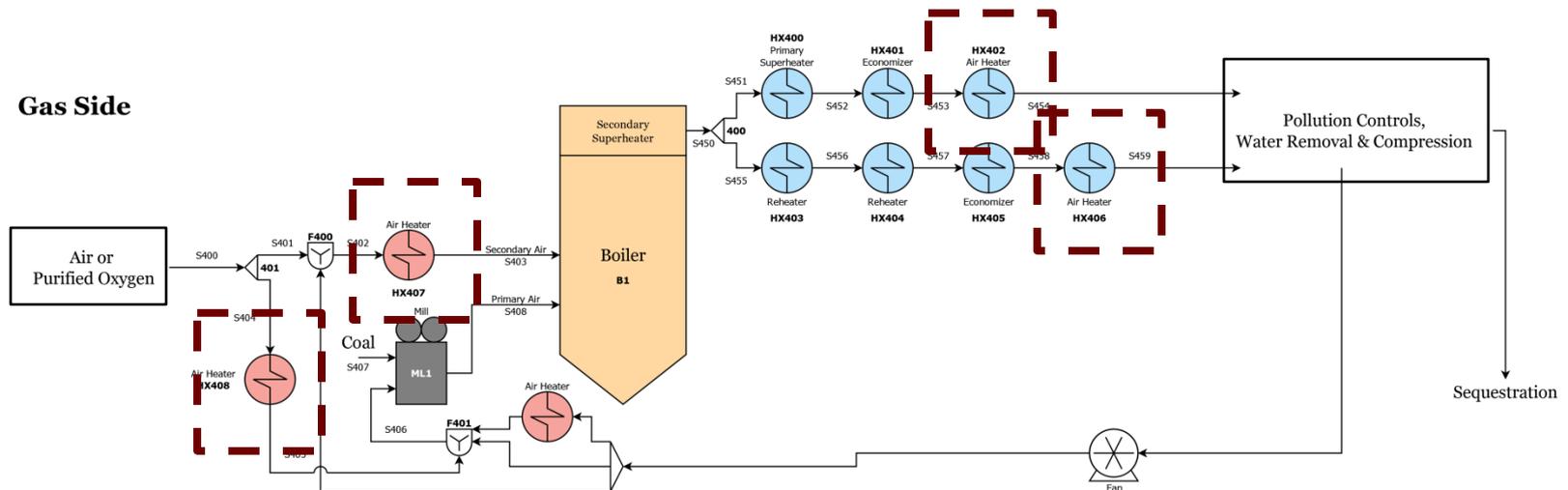


# Steam Cycle & Heat Integration

## Steam Side

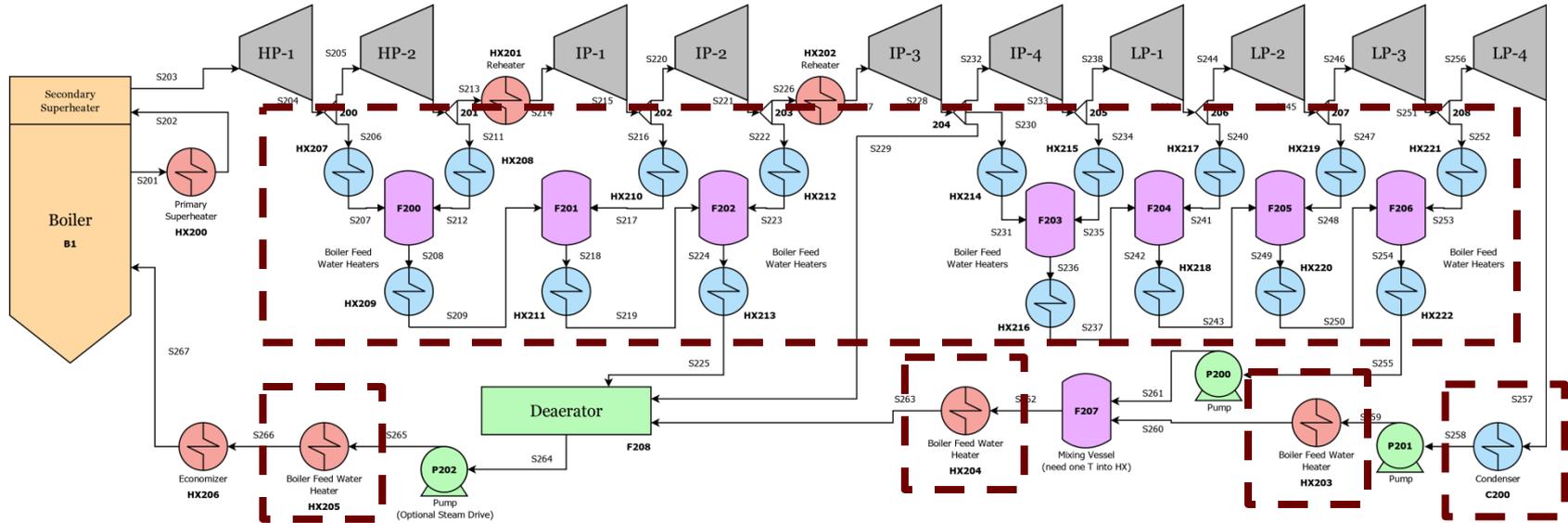


## Gas Side

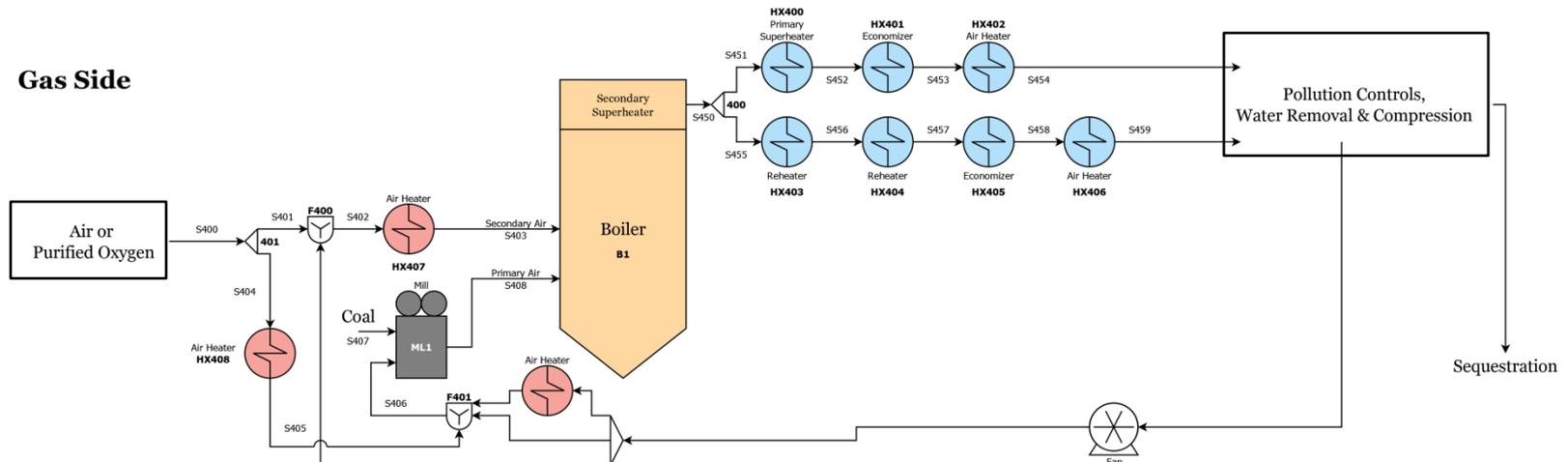


# Steam Cycle & Heat Integration

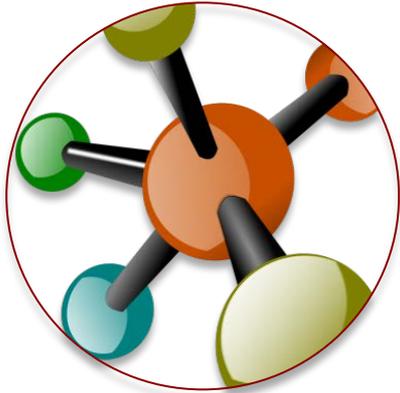
## Steam Side



## Gas Side



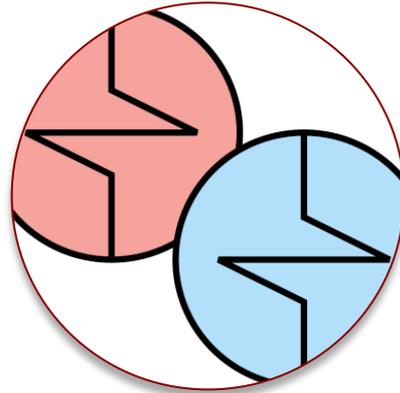
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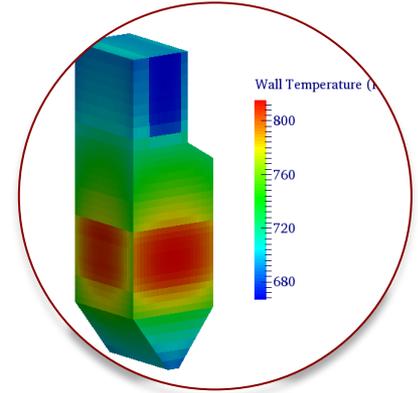
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Distillation  
Cascades



Heat Integration



**Complex  
Reactors**

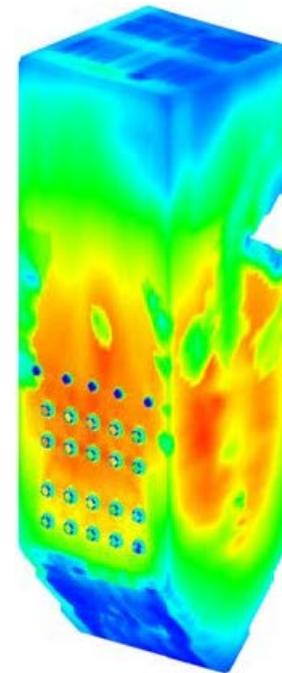
Trust Region Optimization with Filter

# Detailed Boiler Models

Oxycombustion boilers are drastically different than air-fired boilers.

- Economics of the power generation process depend strongly on optimized boiler performance
- Radiative heat transfer dominates
  - $O_2$  and  $CO_2$  different properties than air
- Need detailed first principles model

Traditional CFD (3D)

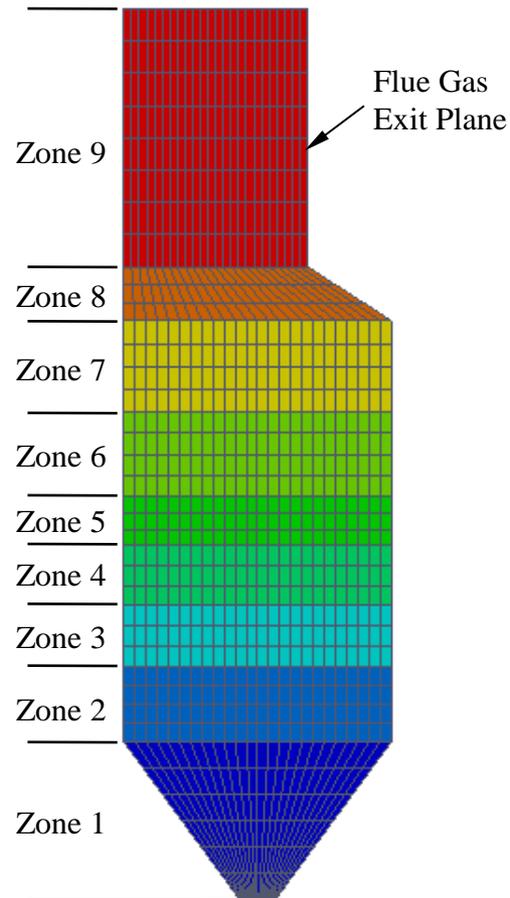
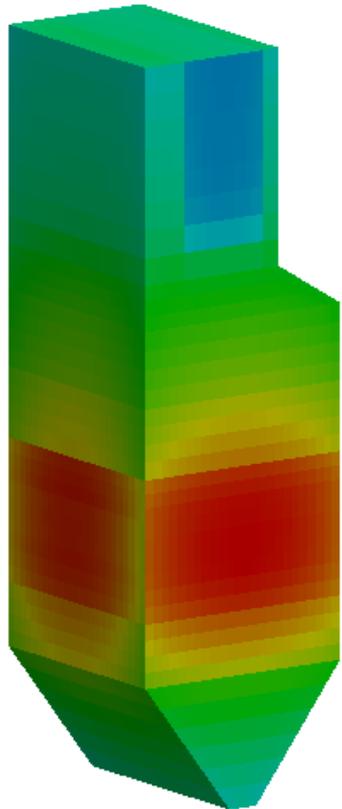


Heat Flux (Btu/hr-ft<sup>2</sup>)

80000
60000
40000
20000
0

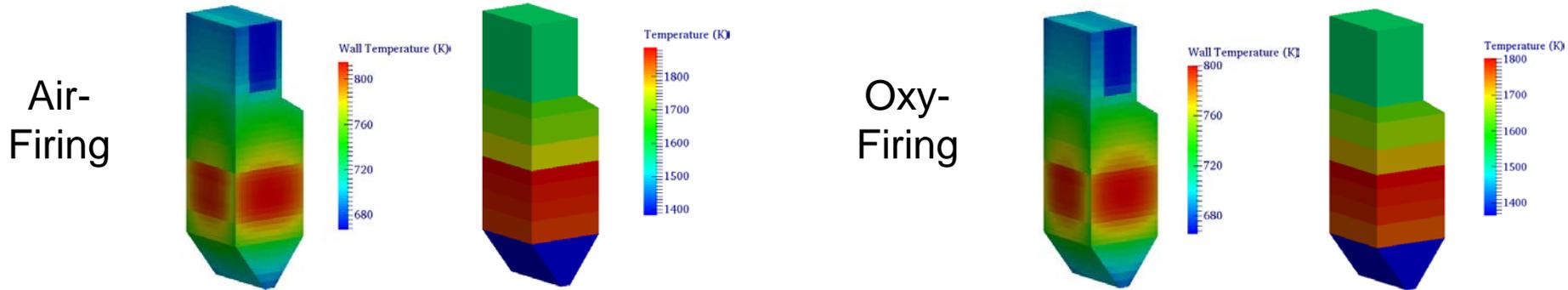
CPU time:  
**Several weeks**

# Hybrid 1D/3D Model



- 1D gas phases **zonal model**
  - Flow properties
  - Char reaction kinetics
  - Particle tracking
- 3D radiative heat transfer calculations
  - Solved using discrete ordinate method
  - Absorption efficiency based on Mie theory
- Run time: **72 CPU-seconds**

# Model Validation



		Air-Firing			Oxy-Firing		
	Unit	Hybrid Model	CFD Model	Error %	Hybrid Model	CFD Model	Error %
Flue Gas Temp. at Horizontal Nose	K	1679	1674	<b>0.3%</b>	1628	1656	<b>1.7%</b>
Unburned Carbon	wt %	0.80	1.70		0.53	1.10	
Carbon Burnout	wt %	99.86	99.70	0.2%	99.90	99.80	0.1%
Heat Loss to Enclosure Wall *	W	$4.108 \times 10^8$	$4.36 \times 10^8$	5.8%	$3.93 \times 10^8$	$4.03 \times 10^8$	2.5%
Heat Loss to Platen SH Wall	W	$1.018 \times 10^8$	$1.02 \times 10^8$	0.2%	$9.89 \times 10^7$	$1.09 \times 10^8$	9.2%

\* **CFD models include a section of enclosure wall above nose**

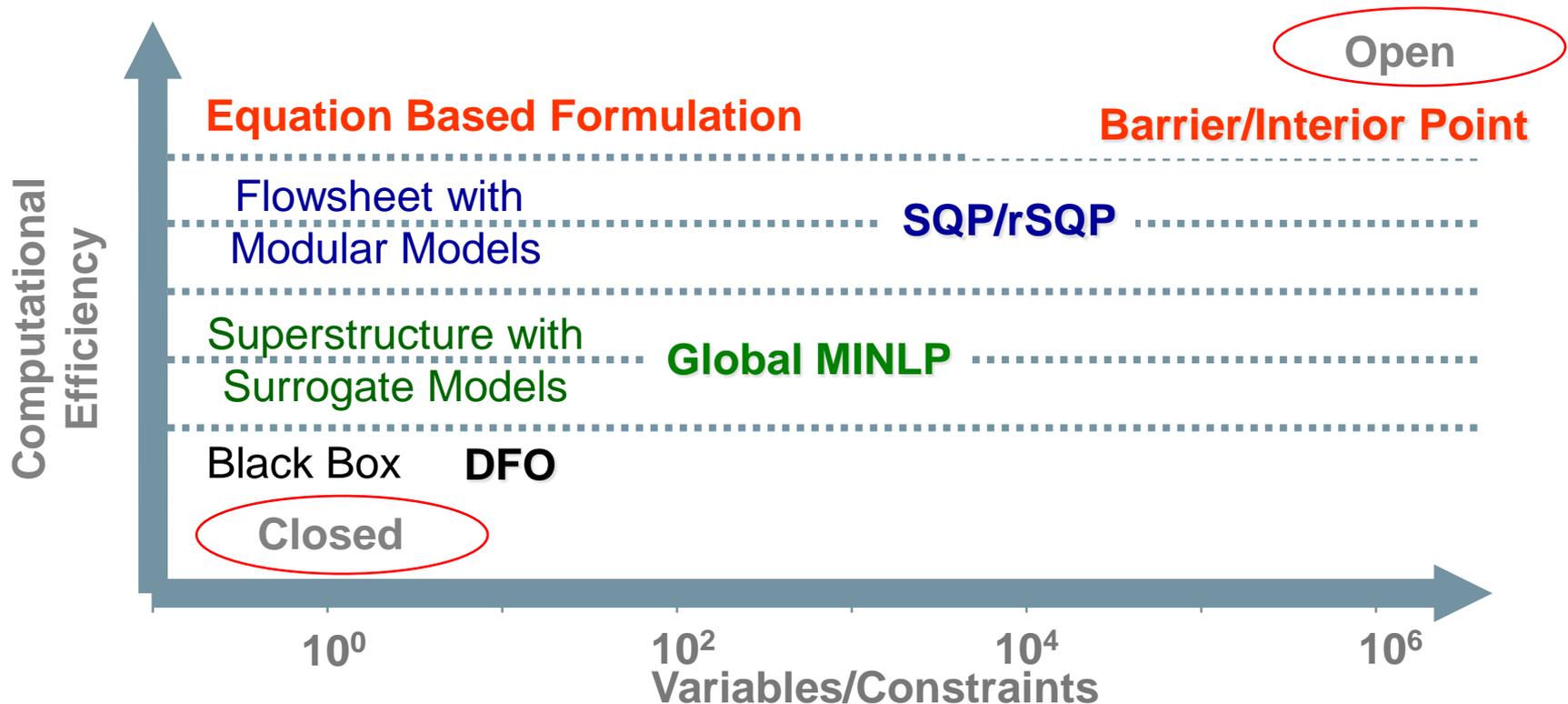
CFD data from NETL/Reaction Engineering International study

# Methodology: Equation Oriented

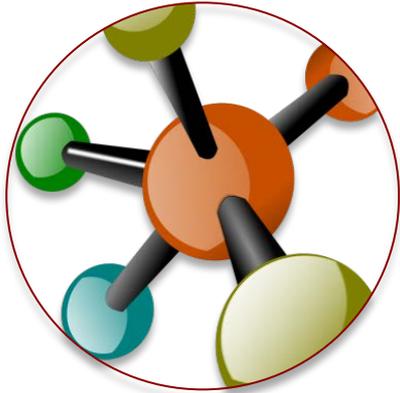
Tightly coupled subsystems



Optimize using detailed models



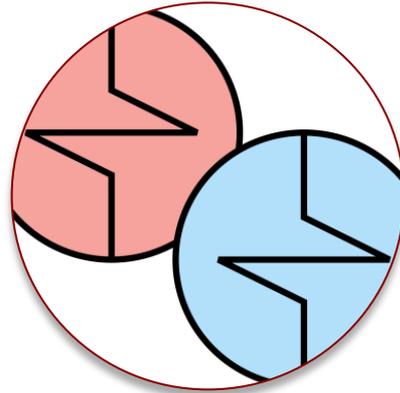
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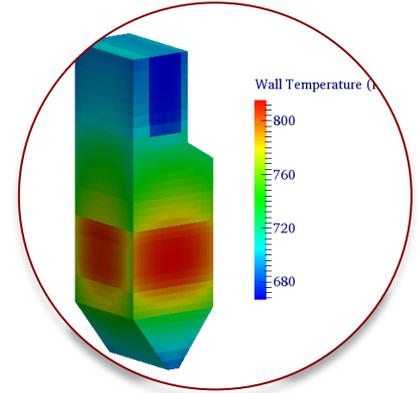
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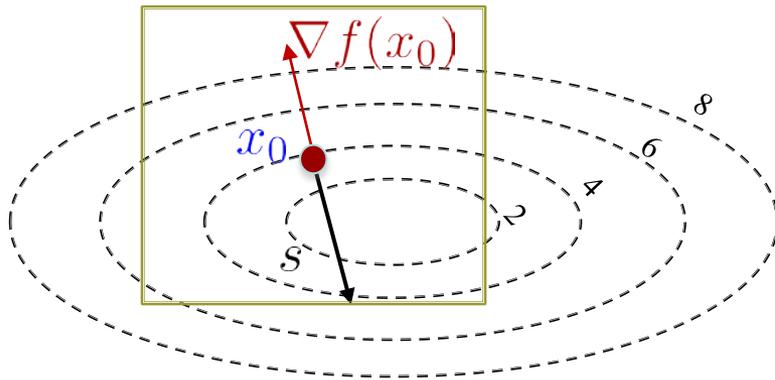


**Complex  
Reactors**

**Trust Region Optimization with Filter**

# Trust region methods

- Build surrogate models that we “trust” in a local region
  - Satisfy certain conditions on accuracy
- Optimize within the trust region
- Adaptively adjust surrogate and trust region size
  - Guaranteed convergence
- Use filter method to extend to flowsheets with surrogates



$$\begin{aligned} \min_s \quad & r(x_0 + s) = f(x_0) + \nabla f(x_0)^T s \\ \text{s.t.} \quad & \|s\| \leq \Delta_0 \end{aligned}$$

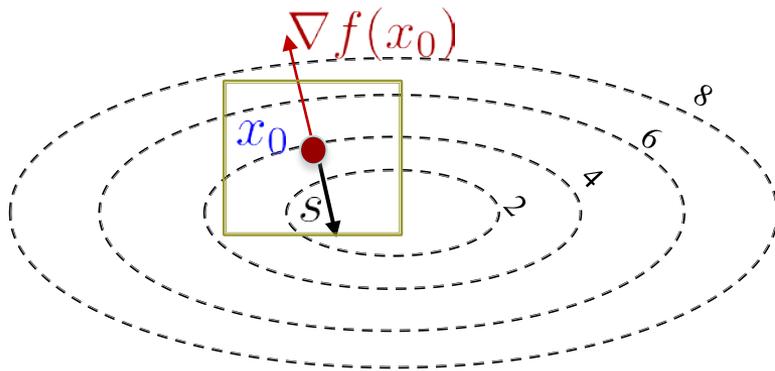
Evaluate  $f(x_0 + s)$  .

$$f(x_0 + s) - f(x_0) = 0$$

No improvement! Shrink trust region  $\Delta_0$

# Trust region methods

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  - Satisfy certain conditions on accuracy
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New step  $s$  within smaller trust region

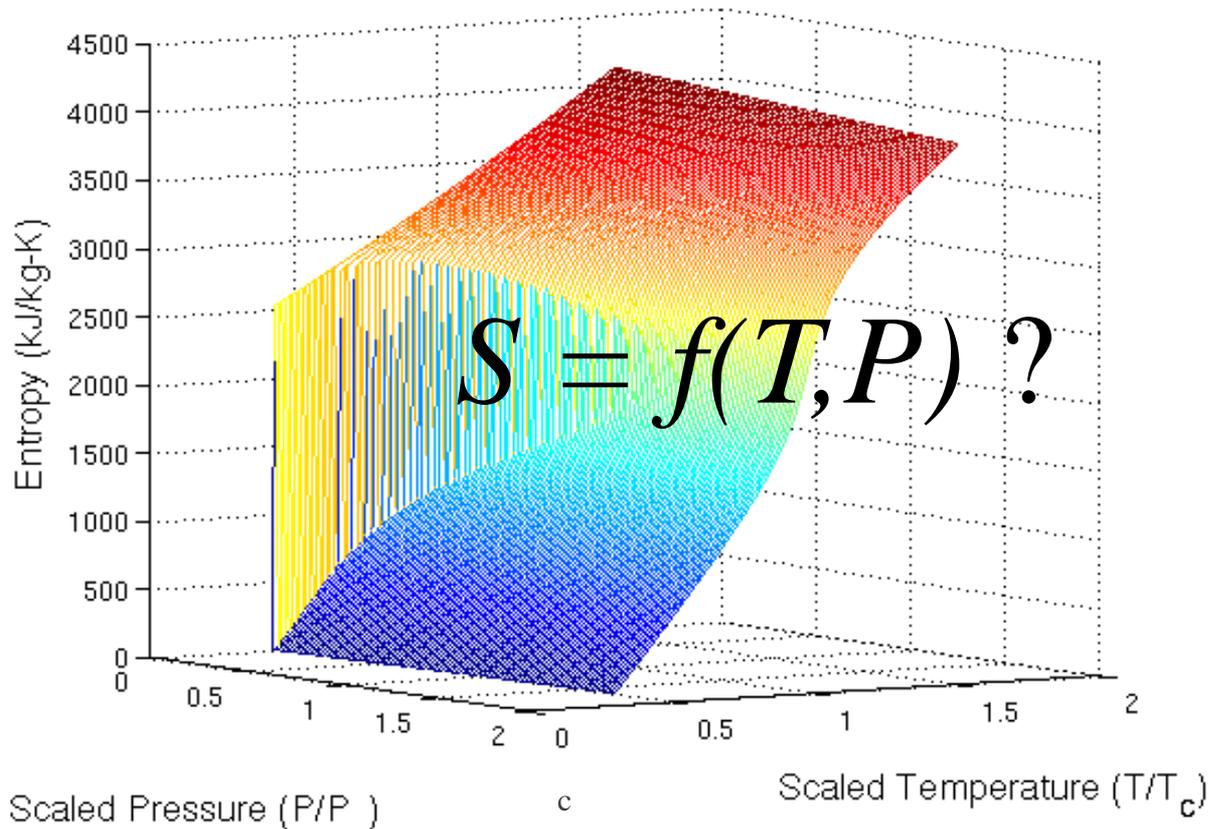
Evaluate  $f(x_0 + s)$  .

$$\frac{f(x_0 + s) - f(x_0)}{r(x_0 + s) - r(x_0)} = 0.75$$

Sufficiently decreased the objective

*See companion poster (566b) for details*

# Steam Thermodynamics



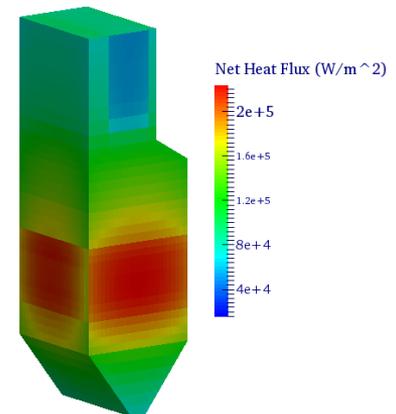
IAPWS IF-97  
standard

Use linear surrogate  
models in trust  
region framework

$$S(T, P) \cong \tau(T - T_0) + \rho(P - P_0) + S_0$$

# Case Studies: *Air-fired Steam Cycle*

- **Simultaneous steam cycle & boiler optimization**
- Boiler design variables
  - Fixed coal feed rate (match CFD case)
  - Primary air temperature & flowrate
  - Secondary/over-fired air temperature
  - Secondary air flowrate
  - Overfire air flowrate
  - Water wall temperature
- Future:
  - Boiler geometry
  - Gas composition (for oxycombustion)



# Case Studies: *Air-fired Steam Cycle*

*maximize* **Thermal Efficiency**

s.t. Steam cycle connectivity

Heat exchanger model

Pump model

Fixed isentropic efficiency turbine model

**Hybrid boiler model** with fixed fuel rate

Heat integration model

**Steam thermodynamics**

Using trust region method

Solved in GAMS 24.2.1 with CONOPT 3

Trust region algorithm in MATLAB R2013a

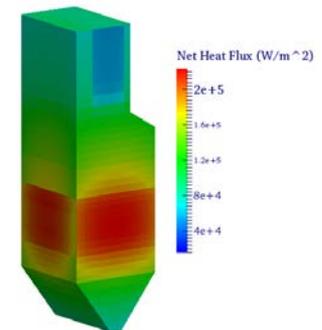
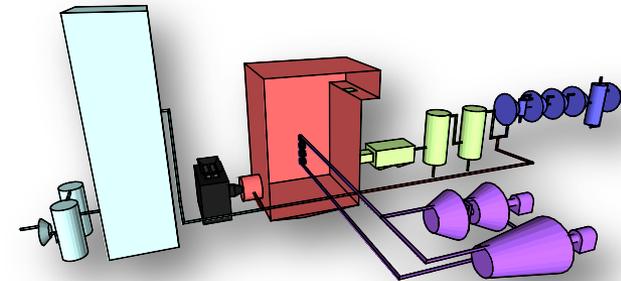
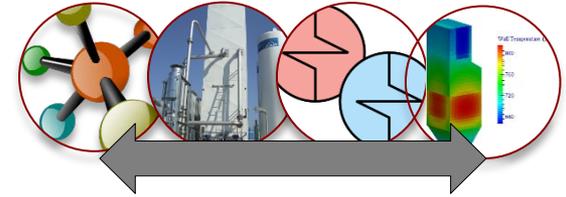
# Case Studies: *Air-fired Steam Cycle*

- **Gross electrical efficiency: 46.04%** (HHV)
- Optimized steam extraction and feed water heating
- Ongoing work: assumption refinement

<b>Solution time:</b>	<b>167.1 minutes</b>
Total boiler simulations:	247 (run on 4 cores)
HP turbines work	126.1 MW
IP turbines work	309.7 MW
LP turbines work	347.4 MW
Fuel rate (HHV)	1325.5 MW
Steam exit temperature	863 K
Steam exit pressure	350 bar

# Conclusions

- Developing EO framework for full oxycombustion power plant optimization
  - General structure, extends easily to other emerging energy technologies
- Trust region framework embeds steam table thermodynamics and surrogate boiler model into equation-based optimization problems
  - Guaranteed accuracy
- Future work will include investigation of
  - Heat integration and sizing trade-offs
  - Optimization of firing conditions and CO<sub>2</sub> recycle strategy
  - Full optimization of oxycombustion process



# Acknowledgements

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**Jinliang Ma**, NETL/URS

Cheshta Balwani, CMU

Zixiang Gao, CMU

Thesis Advisor

EO Framework

ASU/CPU optimization

CCSI Technical Team Lead

1D/3D hybrid boiler model

CPU optimization, CEOS refinement

SO<sub>x</sub> scrubber modeling

# Trust Region Framework

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- Desire mathematical guarantees regarding the optimal of the “full detail” model
- Restricts optimizer step size to within trust region
- Adjust trust region size based on local model accuracy
- Use **filter method** to simultaneously optimize objective function and converge equality constraints